

Export Documentary Collection Liquidation User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export Documentary Collection Liquidation User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export Documentary Collection Liquidation

Export Documentary Collection Liquidation process facilitates the user to handle the payment to an exporter from an importer via an intermediary bank.

This section contains the following topics:

Common Initiation Stage	Registration
Document Linkage	Exceptions
Multi Level Approval	Reject Approval

Common Initiation Stage

The user can initiate the new export documentary collection liquidation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Documentary Collection Number	Select the Documentary Collection Number.
Branch	Select the branch.

Action Buttons

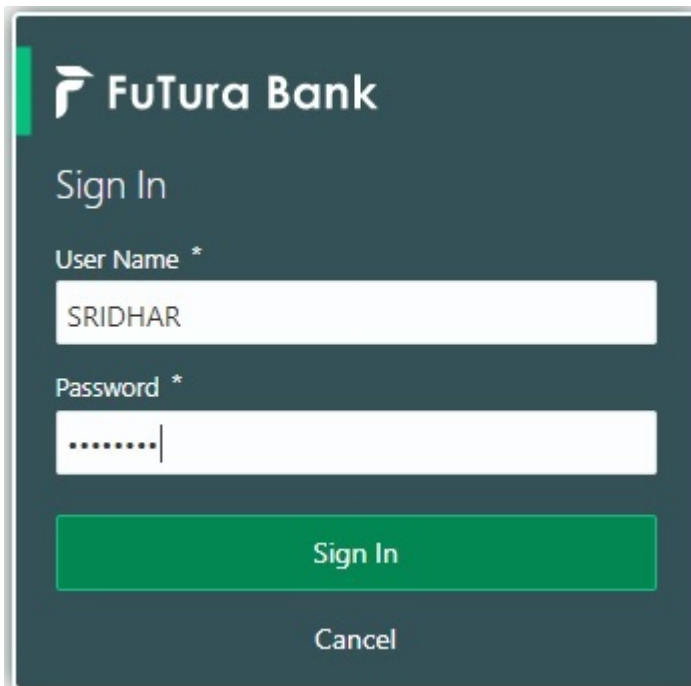
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for trade finance expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. Below the input fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot shows the Oracle dashboard for a user named SRIDHAR01. The dashboard includes several widgets:

- High Value Transactions:** A bubble chart showing transactions for GBP and EUR over a period of 12 days. The y-axis ranges from 0 to 120K.
- High Priority Tasks:** A table listing tasks such as 'Import Documentary Collections' and 'Export LC Advising' with their respective statuses.
- Priority Summary:** A table summarizing priority tasks, including 'Export Documentary Collections-Update'.
- Pending Exception Approval:** A table with columns for Customer Name, Stage Name, Process Reference Number, Process Name, Branch Name, and Currency. It lists two entries for 'Amount Block Exception Approval' and 'Limit Earmarking Exception Approval'.
- SLA Status Summary:** A summary widget for SLA status.

3. Click Trade Finance> Export - Documentary Collection> Export Documentary Collection Liquidation.

This screenshot is similar to the previous one but highlights the navigation path in the left sidebar. The 'Export - Documentary C...' menu item is highlighted with a red box, indicating the user's current location within the system.

The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Application Details

The screenshot shows the Oracle Banking Trade Finance interface for 'Export Documentary Collection Liquidation'. The form is divided into two main sections: 'Application Details' and 'Collection Details'.

Application Details:

- Documentary Collection Number: PK2EAUC211252502
- Amount In Local Currency: GBP, £7,001.00
- Liquidation Date: May 24, 2021
- Drawer: 001044, GOODCARE PLC
- Process Reference Number: PK2EDCL000026635
- Version Number: 1
- Branch: PK2-Oracle Banking Trade Finan...
- Priority: Medium
- Bill Amount: GBP, £7,001.00
- Submission Mode: Desk

Collection Details:

- Documents Received: (Dropdown)
- Operation Type: DIS
- Collecting Bank: 003763, CITIBANK IRELA
- Unlinked FX Rate: (Dropdown)
- Tenor Type: Usance
- Contract Reference Number: PK2EAUC211252502
- Bill Outstanding Amount: GBP, £7,001.00
- Rebate Amount: GBP
- Product Code: EAUC
- User Reference Number: PK2EAUC211252502
- Liquidation Amount: GBP, £100.00
- Customer Dispatch: (Toggle)
- Product Description: OUTGOING CLEAN USANCE BILLS NOT
- Drawee: 000153, NATIONAL FREIK
- Finance Amount: GBP, £6,000.00

Buttons at the bottom include: Hold, Cancel, Save & Close, Submit, View Collection, and Events.

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	Provide the Documentary Collection Number. Alternatively, user can search the Documentary Collection Number using LOV. In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.	
Drawer	Read only field. Drawer ID and Drawer Name will be auto-populated based on the selected Documentary Collection Number.	
Branch	Read only field. Branch details will be auto-populated based on the selected Documentary Collection Number.	203-Bank Futura -Branch FZ1
Bill Amount	Read only field. Bill currency and amount will be auto-populated based on the selected Documentary Collection Number.	
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	

Field	Description	Sample Values
Process Reference Number	Read only field. Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export Documentary Collection Liquidation request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Liquidation Date	Read only field. By default, the application will display branch's current date.	04/13/2018
Version Number	Read only field. This field displays the latest version of the bill.	

Collection Details

Registration user can provide collection details in this section. Alternately, details can be captured by Data Enrichment user.

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	Read only field. Documents received details will be auto-populated based on the selected Documentary Collection Number.	
Tenor Type	Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number.	
Product Code	Read only field. Product code will be auto-populated based on the selected Documentary Collection Number.	
Product Description	Read only field. This field displays the description of the product as per the product code.	

Field	Description	Sample Values
Operation Type	Read only field. Operation Code will be auto-populated from the collection booking.	
Contract Reference Number	Read only field. System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
User Reference Number	Read only field. User reference number is defaulted based on the description of the product as per the product code.	
Drawee	Read only field. Drawee ID and Drawee Name will be auto-populated based on the selected Documentary Collection Number.	
Collecting Bank	Read only field. This field displays the Collecting Bank ID and name as per the collecting bank ID.	
Bill Outstanding Amount	Read only field. Bill Outstanding Amount will be auto-populated based on the selected Documentary Collection Number.	
Liquidation Amount	Provide the bill amount to be liquidated.	
Finance Amount	Read only field. Finance Amount will be auto-populated based on the selected Documentary Collection Number.	
Unlinked FX Rate	Provide the unlinked FX rate.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	
Customer Dispatch	The value will be populated from back office based on the maintenance. Toggle On: If the toggle is set to Yes , the customer has the option to dispatch the documents directly to the importer's bank. Toggle Off: If the toggle is set to No , the bank has to dispatch the documents to the importer's bank. Bank user is not allowed to edit the field, if the value defaulted from the back office is "No",	

Miscellaneous

Export Documentary Collection Liquidation Documents Remarks Customer Instruction

Application Details

Documentary Collection Number * 032ESCP232150509	Drawer * 032204 Air Arabia	Branch 032-Oracle Banking Trade Finan...	Bill Amount * AED AED 100.00
Amount In Local Currency AED AED 100.00	Process Reference Number 032EDCL000001843	Priority Medium	Submission Mode Desk
Liquidation Date * Aug 3, 2023	Version Number 1		

[View Collection](#) [Events](#)

Collection Details

Documents Received First	Tenor Type Sight	Product Code ESCP	Product Description OUTGOING DOCUMENTARY SIGHT BILL
Operation Type PUR	Contract Reference Number 032ESCP232150509	User Reference Number 032EDCB000002413	Drawee 032205 Aldar Properties
Collecting Bank 032302 Abu Dhabi Islam	Bill Outstanding Amount AED AED 100.00	Liquidation Amount * AED AED 100.00	Finance Amount AED AED 100.00
Unlinked FX Rate 	Enter a number greater than or equal to 1.	Customer Dispatch <input type="checkbox"/>	

[Hold](#) [Cancel](#) [Save & Close](#) [Submit](#)

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Collection	Enables user to view the details of the collection.	
Events	On click, system will display the details of collection and liquidations if any in chronological sequence.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	

Action Buttons

Field	Description	Sample Values
Submit	On submit, task will move to next logical stage of Export Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Export Documentary Collection Liquidation Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for marking the task as Hold. This option is used, if there are any pending information yet to be received from applicant.	

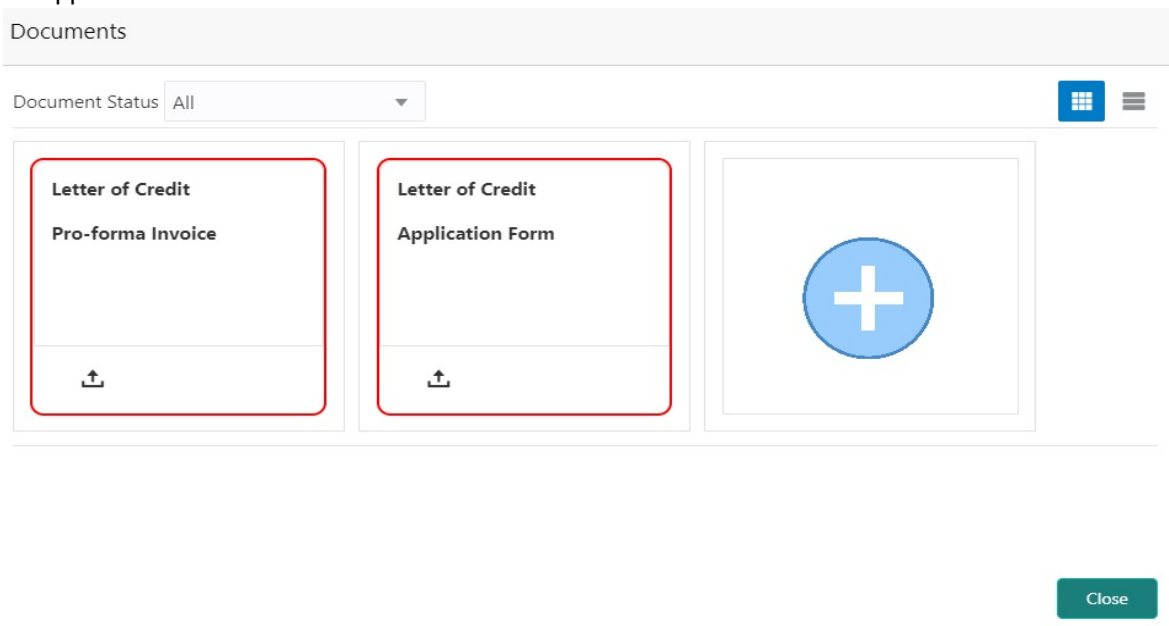
Document Linkage

The user can link an existing uploaded document in any of the process stages.

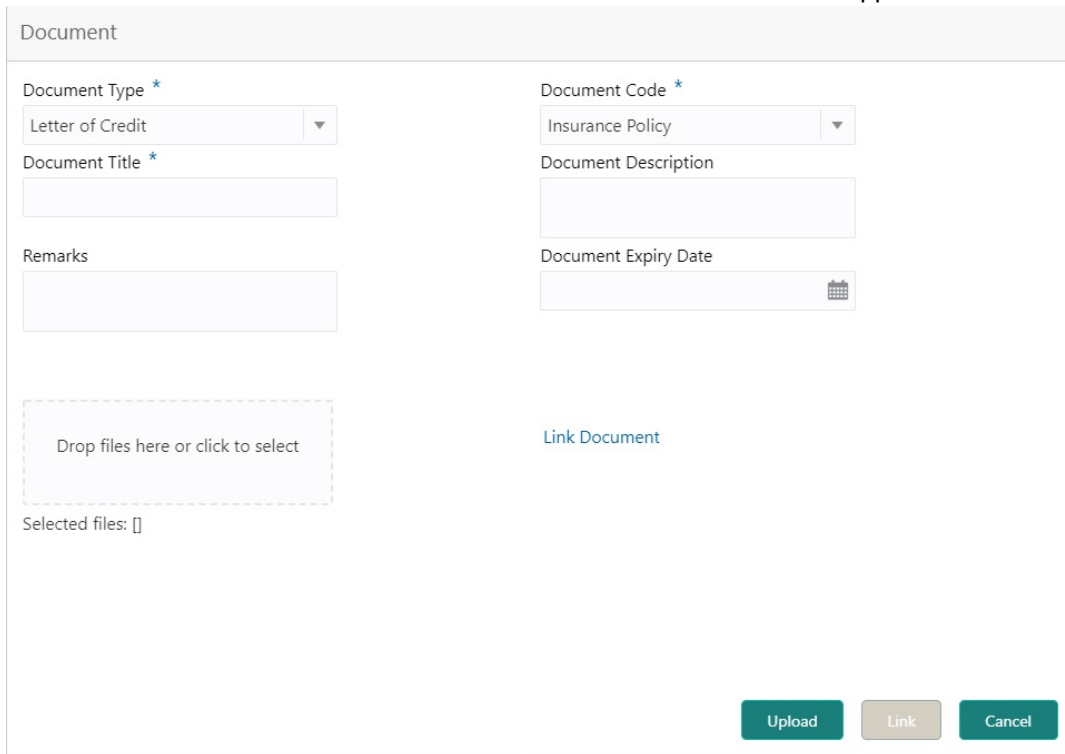
In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.



Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id * Document Id

Document Type * Document Code *

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

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Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id Document Title

Application Reference Number Entity Reference Number

Document Type Id Document Description

Remarks Document Expiry Date

Drop files here or click to select

Current selected files: []

Data Enrichment

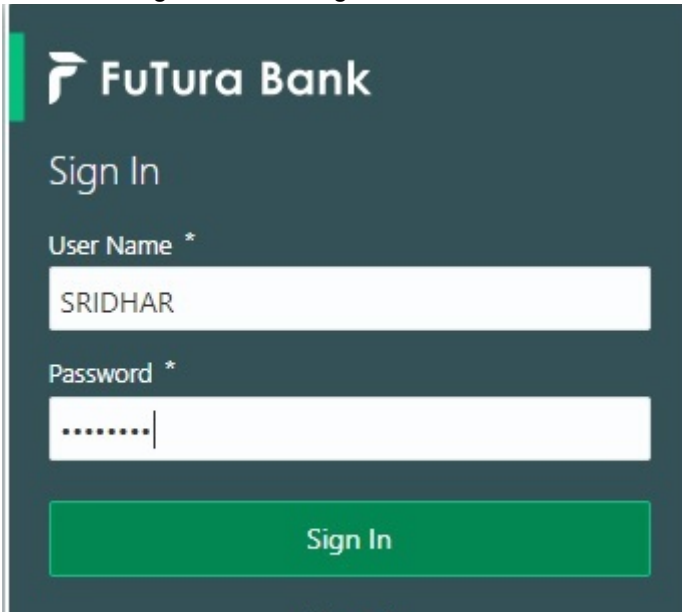
On successful completion of Registration of an Export Documentary Collection Liquidation, the task moves to Data Enrichment stage. At this stage the information captured during registration are validated.



For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Free Task DE stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
NA	Amount Block Exception Approval	GS1ELCA000026913	Export LC Advising		GBP
NA	Limit Earmarking Exception Approval	GS1ELCA000026913	Export LC Advising		GBP

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number
Acquire & Edit		Export Documentary Li...	000EDCL000029877	DataEnrichment	1586937542903	000	000009
Acquire & Edit	M	Import Documentary- B...	300IDCB000029879	DataEnrichment	1586937728729	300	001506
Acquire & Edit	M	Import LC Issuance	300ILCI000029822	HandoffRetryTask	70-01-01	300	001506
Acquire & Edit	L	Import Documentary- B...	000IDCB000029869	DataEnrichment	1586935595557	300	000823
Acquire & Edit	M	Import LC Issuance	000ILCI000029864	Scrutiny	1586935200284	000	000947
Acquire & Edit	M	Import LC Issuance	000ILCI000029856	HandoffRetryTask	70-01-01	000	000947
Acquire & Edit		Export LC Drawing	300ELCD000029819	Scrutiny	1586928618530	300	001506
Acquire & Edit		Export LC Drawing	300ELCD000029807	Scrutiny	1586925139720	300	001506
Acquire & Edit	M	Import LC Issuance	300ILCI000029805	Approval Task Level 1	70-01-01	300	001506

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4. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number
Acquire & Edit		Export Documentary Li...	000EDCL000029877	DataEnrichment	1586937542903	000	000009
Acquire & Edit	M	Import Documentary- B...	300IDCB000029879	DataEnrichment	1586937728729	300	001506
Acquire & Edit	M	Import LC Issuance	300ILCI000029822	HandoffRetryTask	70-01-01	300	001506
Acquire & Edit	L	Import Documentary- B...	000IDCB000029869	DataEnrichment	1586935595557	300	000823
Acquire & Edit	M	Import LC Issuance	000ILCI000029864	Scrutiny	1586935200284	000	000947
Acquire & Edit	M	Import LC Issuance	000ILCI000029856	HandoffRetryTask	70-01-01	000	000947
Acquire & Edit		Export LC Drawing	300ELCD000029819	Scrutiny	1586928618530	300	001506
Acquire & Edit		Export LC Drawing	300ELCD000029807	Scrutiny	1586925139720	300	001506
Acquire & Edit	M	Import LC Issuance	300ILCI000029805	Approval Task Level 1	70-01-01	300	001506

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5. The acquired task will be available in My Tasks tab. Click Edit to update the task.

My Tasks

Action	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Customer Number
Edit		Export Documentary Li...	000EDCL000029877	000EDCL000029877	DataEnrichment	1586937542903	000	000009

Page 1 of 1 (1 - 1 of 1 items) | K < 1 > X

The Data Enrichment stage has the following hops for data capture:

- Main Details

- Liquidation
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from registration channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Liquidation Date**. Refer to [Application Details](#) for more information of the fields.

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, if user has not captured, then user can capture the details in this section. If details were captured in Registration stage, the DE user can edit/update them.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Save & Close	<p>Save the details provided and holds the task in ‘My Task’ queue for further update.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Liquidation

Liquidation hop enables the user to provide details to process the liquidation.

The screenshot shows the Oracle Liquidation interface. At the top, there's a navigation bar with 'ORACLE' logo, 'Free Tasks', and user information. Below that, a breadcrumb trail reads 'Export Documentary Collection Liquidation - DataEnrichment :: Application No: PK2EDCL000057919'. The main content area is titled 'Liquidation' and contains four tabs: 'Other Details', 'Document Details', 'Shipment Details', and 'Maturity Details'. The 'Other Details' tab is active, showing fields for Value Date (2019-05-06), Debit Value Date (2019-05-06), and Credit Value Date (2019-05-06). The 'Document Details' tab shows Document1 (AIRDOC), Document2 (INSDOC), and Document3 (INVDOC). The 'Shipment Details' tab shows INCO Terms (CIF), Date of Shipment, and Port of Loading. The 'Maturity Details' tab shows Interest From Date (2019-05-06) and Interest To Date (2019-05-31). At the bottom, there are buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Other Details

The screenshot shows the 'Other Details' form. It has three main sections: 'Other Details', 'Other Bank Charges', and 'Other Bank Interest'. The 'Other Details' section has fields for Debit Value Date (Aug 3, 2023), Credit Value Date (Aug 3, 2023), and Value Date (Aug 3, 2023). The 'Other Bank Charges' section has three columns for 'Other Bank Charges-1', 'Other Bank Charges-2', and 'Other Bank Charges-3', each with a dropdown menu and a description field. The 'Other Bank Interest' section has a 'Start Date' field and a 'Calculate' button. Below that, there are three columns for 'Other Bank Interest-1', 'Other Bank Interest-2', and 'Other Bank Interest-3'. Each column has fields for Component (INT1, INT2, INT3), Component Description, Interest Rate, Interest Basis, Interest Amount (with a currency dropdown set to AED), and a 'Waive' checkbox. At the bottom right, there are 'Save & Close' and 'Close' buttons.

User can view the draft details:

Field	Description	Sample Values
Debit Value Date	Defaults the debit value date. User can change the debit value date.	
Credit Value Date	Defaults the credit value date. User can change the credit value date.	
Value Date	Defaults the value date. User can change the value date.	

Other Bank Charges

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Description -1	Specify the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -2 to 3	Specify the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -3	Specify the description of charges to be collected for the other bank as part of the drawings transaction.	

Other Bank Interest

The user can enter the Interest details to be captured as a part of “Other Bank Interest” details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	This field displays the date from which the system starts calculating the Interest.	
Other Bank Interest-1, 2 and 3		
Component	This field displays the name of the interest Component.	
Component Description	The description of the interest component.	
Interest Rate	The rate to be applied for the interest component.	
Interest Basis	The calculation basis on which the Interest to be computed.	
Interest Amount	This field displays the calculated interest amount.	
Waive	This field displays whether the interest to be waived off or not. The options are: <ul style="list-style-type: none"> • Yes • No 	

Shipment Details

User can view the goods and shipment details updated in Export Collection Booking process.

Shipment Details

Shipment Details
✕

Transshipment Partial Shipments Date of Shipment Place of Taking in Charge
NOT ALLOWED NOT ALLOWED Aug 3, 2023 NEW YORK

Port of Loading Port of Discharge Place of Final Delivery Carrier Name
 LONDON DHL

Shipping Agent Name Shipping Agent Address

INCO Terms INCO Terms Description
DAF Delivered At Frontier (named place)

45A Description of Goods and/or Services

Goods Code	Goods Type	Goods Description	Action
MACHINE1	G	machine1	✎ ✖

Country of Origin Insurance Company Code Insurance Company Policy Number
 COMP1 COMP1 AFK12345





Multi-model/ Transshipment details

Carrier Name	Port	Action
No data to display.		

Save & Close Close

This section displays the shipment details from Export Collection Booking:

Field	Description	Sample Values
Transshipment	Read only field. This field displays the transshipment details of goods from Export Collection Booking.	
Partial Shipment	Read only field. This field displays the partial shipment details of goods from Export Collection Booking.	
Date Of Shipment	Read only field. This field displays date of shipment from Export Collection Booking.	

Field	Description	Sample Values
Place Of Taking In Charge	<p>Read only filed.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p> Note This field is alternate to Port Of Loading.</p>	
Port Of Loading	<p>Read only field.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p> Note This field is alternate to Place Of Taking In Charge.</p>	
Port Of Discharge	<p>Read only field.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p> Note This field is alternate to Place Of Final Destination.</p>	
Place Of Final Delivery	<p>Read only field.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p> Note This field is alternate to Port Of Discharge.</p>	
Carrier Name	<p>Read only field.</p> <p>This field displays the name of the carrier through which the goods were shipped from Export Collection Booking.</p>	
Shipping Agent Name	<p>Read only field.</p> <p>This field displays the shipping agent name from Export Collection Booking.</p>	

Field	Description	Sample Values
Shipping Agent Address	Read only field. This field displays the name shipping agent address from Export Collection Booking.	
INCO Terms	Read only field. This field displays the INCO Terms from Export Collection Booking.	
INCO Terms Description	Read only field. The description of the INCO Term from Export Collection Booking.	

Description of Goods and/or Services

This section displays the goods details from Export Collection Booking:

Field	Description	Sample Values
Goods Code	Read only field. This field displays the goods code from Export Collection Booking.	
Goods Type	Read only field. The goods type is auto populated depending on goods code.	
Goods Description	Read only field. This field displays the goods description from Export Collection Booking.	
Action	Edit icon - This field is disabled. Delete icon- This field is disabled.	
Country of Origin	Read only field. This field displays the country of origin from Export Collection Booking.	
Insurance Company Code	Read only field. This field displays the insurance company code from Export Collection Booking.	
Insurance Company	Read only field. This field displays the insurance company details from Export Collection Booking.	
Policy Number	Read only field. This field displays the policy number from Import Collection Booking.	

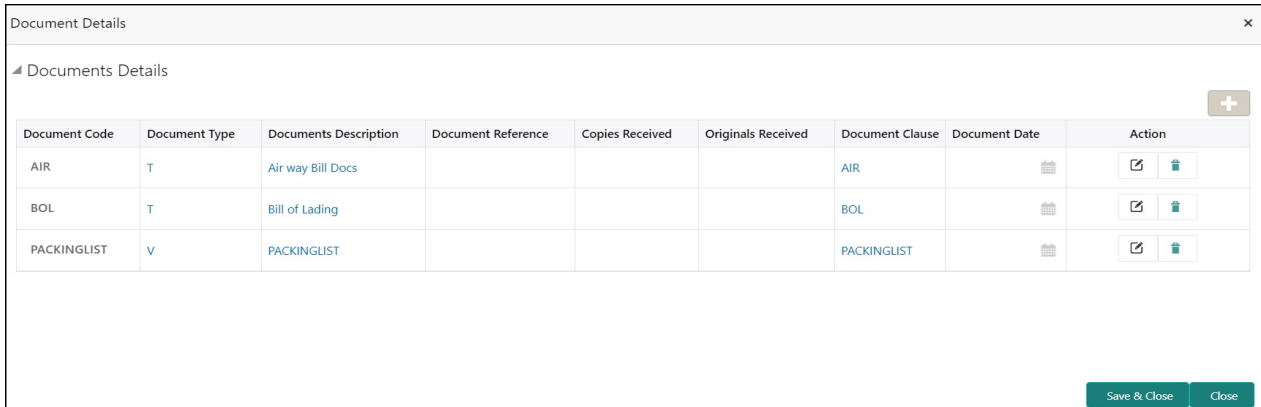
Multi-modal/Transshipment Details

This section displays the multi-modal/transshipment details from Export Collection Booking.

Field	Description	Sample Values
Carrier Name	Read only field. This field displays the carrier name from Export Collection Booking.	
Port	Read only field. This field displays the port details from Export Collection Booking.	
Action	Edit icon - This field is disabled. Delete icon- This field is disabled.	

Document Details

This section displays details of the documents received from Export Collection Booking.



The screenshot shows a window titled "Document Details" with a close button (x) in the top right corner. Below the title bar, there is a section labeled "Documents Details" with a plus sign icon (+) in the top right corner. The main content is a table with the following columns: Document Code, Document Type, Documents Description, Document Reference, Copies Received, Originals Received, Document Clause, Document Date, and Action. The table contains three rows of data:

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Clause	Document Date	Action
AIR	T	Air way Bill Docs				AIR		
BOL	T	Bill of Lading				BOL		
PACKINGLIST	V	PACKINGLIST				PACKINGLIST		

At the bottom right of the window, there are two buttons: "Save & Close" and "Close".

Field	Description	Sample Values
Document Code	Read only field. This field displays the document code from Export Collection Booking.	
Document Type	Read only field. The document type based on the document code. Click the link to view the document type.	
Document Description	Read only field. This field displays the document description from Export Collection Booking. Click the link to view the document description.	
Document Reference	Read only field. This field displays the document reference from Export Collection Booking.	

Field	Description	Sample Values
Copies Received	Read only field. This field displays the number of copies from Export Collection Booking.	
Originals Received	Read only field. This field displays the number of original from Export Collection Booking.	
Document Date	Read only field. The date on which the document is received.	
Action	Edit icon - This field is disabled. Delete icon- This field is disabled.	

Maturity Details

Maturity Details

Draft Details

Draft Code	Draft Amount	Action
Cost of the shipment	£100.00	

Maturity and Multi Tenor Liquidation Details

S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Days	Maturity Date	Bill Amount	Liquidated Amount	Exchange Rate	Liquidation Date	Liquidation Amo
1			May 5, 2021	30	0	Jun 4, 2021					

Interest From Date: May 24, 2021

Interest To Date: Jun 4, 2021

Acceptance Commission From Date:

Acceptance Commission To Date:

Save & Close Close

Draft Details

Update the draft details based on the description in the following table:

Field	Description	Sample Values
Draft Code	System defaults the draft code. User can edit the draft code and can add multiple line of grid by clicking + icon.	
Draft Amount	System defaults the draft amount based on the documents submitted under Export Collection Booking. User can edit the draft amount.	
Action	Click Edit icon to edit the draft details. Click Delete icon to delete the draft details.	

Maturity and Multi Tenor Liquidation Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
SNo.	Serial number of the tenor record.	
Tenor Basis	Read only field. This field displays the tenor basis, if the tenor is not sight.	
Tenor Description	Read only field. The tenor base code description is displayed based on the selected tenor basis.	
Tenor Start Date	Read only field. This field displays the tenor start date.	
Tenor Days	Read only field. This field displays the number of tenor days.	
Transit Days	Read only field. This field displays the transit days, if the tenor is sight.	
Maturity Date	Read only field. This field displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Bill Amount	Read only field. This field displays the the bill amount.	
Liquidated Amount	Specify the liquidated amount.	
Exchange Rate	Specify the Exchange Rate.	
Liquidation Date	Specify the liquidation date.	
Liquidation Amount	Specify the bill amount.	
Action	Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record.	

Field	Description	Sample Values
Interest from Date	This field displays the interest from date. The interest from date cannot be earlier than branch date and later than maturity date. User can change the date.	
Interest to Date	This field displays maturity date in this field, if Interest from Date is provided. User can change the date.	
Acceptance Commission From Date	Indicates the acceptance commission from date.	
Acceptance Commission To Date	Indicates the acceptance commission to date.	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

The screenshot displays the Oracle Flexcube Universal Banking interface for the 'Additional Fields' screen. The top navigation bar shows 'ORACLE My Tasks' and the user 'SRIDHAR01 subham@gmail.com'. The main content area is titled 'Additional Fields' and contains the message 'No Additional fields configured!'. The left sidebar lists navigation options: Main Details, Liquidation, Additional Fields (selected), Advices, Additional Details, Settlement Details, and Summary. The bottom toolbar includes buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Advices

Advices maintained in the back office will be defaulted in this tile format. User can suppress the advices that are not required for the task. The user can suppress the advice, if required.

Export Documentary Collection Liquidation - DataEnrichment :: Application No: PK2EDCL000057015

Screen (4 / 7)

Advice : ACKNOWLEDGE...	Advice : REMITTANCE_LTR	Advice : PAYMENT_MESS...
Advice Name : ACKNOWLEDGEMENT Advice Party : DRAWER Party Name : GOODCARE PLC Suppress : NO Advice	Advice Name : REMITTANCE_LTR Advice Party : ISSUING BANK Party Name : CITIBANK IRELAND Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Additional Details

Export Documentary Collection Liquidation
DataEnrichment :: Application No:- PK2EDCL000024157

Screen (5 / 7)

Limit & Collateral	Charge Details	Preview Message	Payment Details
Limit/Liability : Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral Contr. : Collateral Status :	Charge : Commission : GBP 500.00 Tax : Block Status :	Language : Preview Message : -	Allow Rollover : Auto Change from : Acceptance to : Advance Liquidate using Collateral

FX Linkage	Pre-Shipment Details	Linked Loan Details
Reference Number : Currency : Contract Amount :	No data to display.	Loan Account : Loan Currency : Loan Amount :

Buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
No data to display.										

Cash Collateral Details

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
No data to display.							

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	View
No data to display.						

Page 1 (0 of 0 items) < 1 >

Save & Close Close

Limit Details

Customer Id: 001044

Linkage Type *: Facility

Contribution % *: 1.0

Liability Number *: PK2LIAB01

Contribution Currency: GBP

Line Id/Linkage Ref No *: PK2L01SL1

Limit/Liability Currency: GBP

Limits Description:

Limit Check Response: Available

Amount to Earmark *: AED 100.00


Expiry Date:

Limit Available Amount: £999,999,903.89

Response Message: The Earmark can be performed as the f

ELCM Reference Number:


Verify Save & Close Close

Field	Description	Sample Values
	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  <p>Note</p> User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability .	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	

Field	Description	Sample Values
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Amount to Earmark	Amount to Earmark default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
View	Click the link to view the Limit Details	

Collateral Details


Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Cash Collateral Details		
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	

Field	Description	Sample Values
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
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Field	Description	Sample Values
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details


In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

×

<p>Customer Id <input style="width: 90%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="032204"/> Q</p> <p>Deposit Branch <input style="width: 90%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="032"/></p> <p>Deposit Maturity Date <input style="width: 90%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="Apr 2, 2023"/> 📅</p> <p>Deposit Available In Transaction Currency <input style="width: 15%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="AED"/> <input style="width: 75%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="31,323.00"/></p> <p>Linkage Amount(Transaction Currency) * <input style="width: 15%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="AED"/> <input style="width: 75%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="AED 4,500.00"/></p>	<p>Deposit Account <input style="width: 90%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="032CD01230310001"/> Q</p> <p>Deposit Available Amount <input style="width: 15%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="AED"/> <input style="width: 75%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="AED 31,323.00"/></p> <p>Exchange Rate <input style="width: 90%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="1"/></p> <p>Linkage Percentage % * <input style="width: 60%; border: 1px solid #ccc; margin-bottom: 5px;" type="text" value="45.0"/> ▼ ▲</p>
--	--

Save & Close
Close

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the Deposit Details grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	

Charge Details and Taxes

Charge Details
✕

Recalculate
Redefault

▲ Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close
Close

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	

Field	Description	Sample Values
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Payment Details

PaymentDetails

Auto Liquidate Allow Rollover Auto Change from Acceptance to Advance Liquidate using Collateral

Outstanding Collateral Amount USD Split Settlement Avalization

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Refer
BILL_LIQ_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	PK2	GBP	1		
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	FIXNETIX	PK1	GBP	1		

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Split Settlement

Component	Contract Currency	Amount
BILL_LIQ_AMTEQ	GBP	100

Page 1 of 1 (1 of 1 items) < > 1 >

Split Settlement Details


Fetch Exchange Rate

Sequence	Amount	Settlement Account	Account Custom...	Account Currency	Account Branch	Original Exchange Rate	Exchange Rate	Deal Reference Number	Action
1		1122334455	000335	GBP	000				

Page 1 of 1 (1 of 1 items) < > 1 >

Save & Close Close

Enter the payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Allow Roll over	Enable this option to allow rollover.	
Auto Change from Acceptance to Advance	Read only field. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date. <div style="text-align: center;">  Note This option is applicable only for the bills that are co-accepted by the bank. </div>	
Liquidate using Collateral	Enable this option to Liquidate using Collateral.	
Outstanding Collateral Amount	Read only field. The outstanding collateral amount along with the currency.	

Field	Description	Sample Values
Split Settlement	<p>Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p>Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p>	Disable
Avalization	<p>Read only field.</p> <p>This flag indicates whether avalization is enabled or not.</p>	
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the settlement.	
Deal Reference Number	The exchange deal reference number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Select	Check box to select the record	

Field	Description	Sample Values
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the split settlement.	
Deal Reference Number	The exchange deal reference number.	
Action	Click Edit icon to edit the split settlement details record. Click Delete icon to delete the split settlement details record.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

- Provide the FX linkage detail based on the description in the following table:Rate.

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
032FXF2232155502	AED	USD	AED 222,999.99	1.4	AED 100,000.00	AED 0.00	Jan 31, 2025	

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Average FX Rate
0

FX Linkage ✕

<p>FX Reference Number * <input type="text" value="032FXF2230890501"/></p>	<p>Currency <input type="text" value="AED"/></p>
<p>Contract Amount <input type="text" value="AED 149,999,998.50"/></p>	<p>Available FX Contract Amount <input type="text" value="AED 149,873,698.50"/></p>
<p>Linkage Amount * <input type="text" value="AED 27,000.00"/></p>	<p>Rate <input type="text" value="1.5"/></p>
<p>FX Amount in Local Currency <input type="text" value="GBP £149,999,998.50"/></p>	<p>FX Expiry Date <input type="text" value="Dec 30, 2025"/></p>
<p>FX Delivery Period From <input type="text"/></p>	<p>FX Delivery Period To <input type="text"/></p>

Provide the FX linkage detail based on the description in the following table:Tracers

Field	Description	Sample Values
	Click + plus icon to add new FX linkage details.	
	Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.	
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> • Counterparty of the FX contract should be the counterparty of the Bill contract. • Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	

Field	Description	Sample Values
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	This field displays the FX BOT currency and Amount. The user can change the currency.	
Available FX Contract Amount	This field displays the available FX contract amount. The value is from the "Available Amount" in FXDLINKG screen in OBTR. Available Amount BOT currency and Amount is displayed.	
Linkage Amount	This field displays the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Currency	This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	

Field	Description	Sample Values
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

Preview Message

User can view the draft message being displayed on the preview message text box.

Preview - SWIFT Message

Language: English

Message Type: [Dropdown]

Message Status: [Text Box]

Repair Reason: [Text Box]

Preview Message: [Text Box]

Preview - Mail Advice

Language: English

Advice Type: CLOSURE_ADVICE

Message Status: [Text Box]

Repair Reason: [Text Box]

Preview Message:

```

DRAWER REFERENCE : NONE
DRAWER COUNTRY  : UNITED ARAB EMIRATES

BILL AMOUNT      : AED 10,000.00
  AMOUNT IN WORDS : Ten Thousand
BILL CURRENCY    : UAE Dirham
TENOR OF BILL    : Sight
TENOR DAYS OF BILL : SIGHT
BILL MATURITY DATE : 14-AUG-23
CLOSURE REASON   : STMIIATTOM

```

Save & Close Close

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

Pre-Shipment Details

x

Pre-Shipment Details
+ D -

Preshipment Credit Number	Currency	Outstanding Amount
No data to display.		

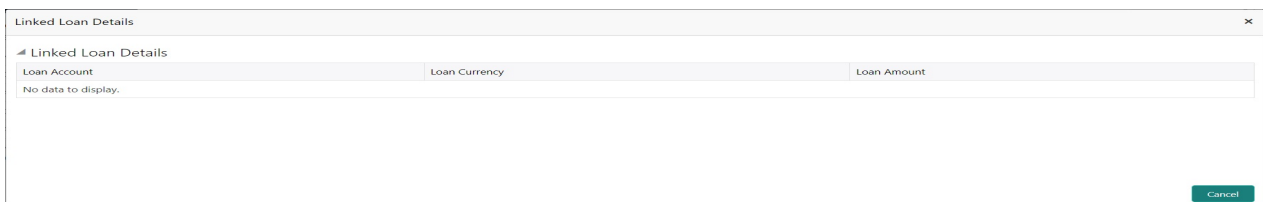
Save & Close Close

Provide the Liquidate Pre-Shipment Finance details based on the description in the following table:

Field	Description	Sample Values
Pre-Shipment Credit Number	Provide the Pre-Shipment Credit Number. Alternatively, user can search the Pre-Shipment Credit Number in the LOV.	
Currency	Read only field Application defaults the currency of the Pre-Shipment Credit Number.	
Outstanding Amount	Read only field Application defaults the currency of the Pre-Shipment Credit Number.	
Repayment Amount	Provide the repayment amount.	

Linked Loan Details

This user can view the details of linked loan accounts.



Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Loan Account	The details of the linked loan account.	
Loan Currency	Loan Currency of the linked loan account.	
Loan Amount	Loan amount of the linked loan account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Back	Click Back to move to previous step in DE stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

Provide the settlement details based on the description in the following table:

The screenshot displays the Oracle Settlement Details interface. At the top, there's a navigation bar with 'ORACLE' and user information. Below it, a breadcrumb trail shows 'Export Documentary Collection Liquidation' and 'DataEnrichment :: Application No:- 032EDCL000164166'. The main content area is titled 'Settlement Details' and includes a 'Current Event' checkbox. A table lists settlement components with columns for Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, Current Event, Original Exchange Rate, Exchange Rate, and Deal. Below the table, there's a section for 'AMT_PURCHASEDEQ - Party Details' containing various form fields for transfer type, charge details, netting indicator, ordering customer, and correspondent/beneficiary institutions.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	

Field	Description	Sample Values
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	

Field	Description	Sample Values
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	
Receiver	Select the receiver from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage of Export Documentary Collection Liquidation request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Export Documentary Collection Liquidation
DataEnrichment : Application No: 032EDCL000001845

Confirmation Details Documents Remarks Overrides Customer Instruction View Collection

Screen 17 / 7

Summary						
Main Details	Document Details	Shipment Details	Maturity Details	Other Details	Pre-Shipment Details	Additional Fields
Booking Date : 2023-08-03 Submission Mode : Check Liquidation Amount : AED 10000	Document 1 : BOIL Document 2 : AIR Document 3 : PACKINGLIST	Port of Loading : Port of Discharge : Shipment Date : 2023-08-02 Carrier Name : ABC	Tenor Type : Usance Tenor Basis : Maturity Date : 2023-09-01	Value Date : Client Value Date : Credit Value Date :	Prepayment Credit : OutstandingAmount : RepayAmount :	Click here to view Additional Fields
Advices	Limits and Collaterals	Commission, Charges and taxes	Preview Messages	Payment Details	FX Linkage	Settlement Details
Advice 1 : PAYMENT_ADV... Advice 2 : PAYMENT_MESS...	Contribution Currency : Amount to Bankmark : Limit Status : Not Verified Collateral Currency : Collateral Contr: : Collateral Status : Not Verified Deposit Linkage CCY : Deposit Linkage Amount :	Charge : AED 80.00 Commission : Tax : Bank Status : Not Initiated	Language : ENG Preview Message : -	Advance by Loan : No Allow Rollover : No Liquidate using Collateral :	Reference Number : Linkage Amount : Contract Currency :	Component : CHG1 LIQD_AM... Account Number : 0322090004 Currency : AED
Parties Details	Compliance details	Accounting Details	Linked Loan Details	Interest Details		
Driver : Jameelah Gro... Driver : Ahr Arabia	KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...	Event : LIQD AccountNumber : 412000001 Branch : 032	LoanAcc : Loan Currency : Loan Amount :	Component : Amount : Event :		

Request Confirmation Reject Refer Hold Cancel Save & Close back Home Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Pre-Shipment Details - User can view the pre-shipment details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Preview - User can view the preview message.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the details of FX Linkage.
- Party Details - User can view party details like applicant, advising bank etc.
- Settlement Details - User can view settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Linked Loan Details - User can view the linked loan details.
- Interest Details - User can view the interest details.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.” **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none">• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Submit	Task will move to next logical stage of Export Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Back	Click Back to move to previous step in DE stage.	

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Exceptions

The Export Collection Liquidation request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block exception tasks for Trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export Collection Booking Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export Collection Liquidation KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Field	Description	Sample Values
Cancel	Cancel the Export Collection Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Liquidation Currency Code
- Liquidation Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'Free Tasks' interface with a table of tasks and an 'Approval Rekey' modal window. The table lists tasks with columns for Acquire & E..., Priority, Process Name, Process Reference Num., Application Num., Stage, Application Date, Branch, Customer Number, and Amount. The modal window is titled 'Approval Rekey' and contains buttons for 'View Signature', 'Documents', and 'Remarks'. It also has input fields for 'Liquidation Amount' (set to AED 10,000.00) and 'Liquidation Currency Code' (set to AED), both with green checkmarks indicating successful validation. At the bottom of the modal are 'Refer', 'Close', and 'Proceed' buttons.

Summary

Export Documentary Collection Liquidation
Approval Task Level 1 = Application No:- 032EDCL000001845

Documents Remarks Overrides Customer Instruction View Collection

Main Details	Document Details	Shipment Details	Maturity Details	Other Details	Pre Shipment Details
Booking Date : 2023-08-03 Submission Mode : Desk Liquidation Amount : AED 10000	Document 1 : BOL Document 2 : PACKINGLIST Document 3 : AIR	Port of Loading : Port of Discharge : Shipment Date : 2023-08-02 Carrier Name : ABC	Tenor Type : Usance Tenor Basis : Maturity Date : 2023-09-01	Value Date : Debit Value Date : Credit Value Date :	Preshipment Credit : OutstandingAmount : RepayAmount :
Limits and Collaterals	Commission, Charges and taxes	Preview Messages	Payment Details	FX Linkage	Linked Loan Details
Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified Deposit Linkage CCY : Deposit Linkage Amount :	Charge : AED 80.00 Commission : Tax : Block Status : Success	Language : ENG Preview Message :-	Advance by Loan : No Allow Rollover : No Liquidate using Collateral :	Reference Number : Linkage Amount : Contract Currency :	loanAcc : Loan Currency : Loan Amount :
Compliance details	Exception(Approval)	Accounting Details	Interest Details		
KYC : Verified Sanctions : Verified AML : Verified	EXCEPTION : Nil	Event : LIQD AccountNumber : 0322040001 Branch : 032	Component : Amount : Event :		

Audit

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Pre-Shipment Details - User can view the pre-shipment details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Preview - User can view the preview message.
- Payment Details - User can view the payment details.
- Party Details - User can view party details like applicant, advising bank etc.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Linked Loan Details - User can view the linked loan details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Interest Details - User can view the interest details.
-

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the approval.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Feedback and Support

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